



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 09/918,395
Applicant : RONALD P. READE ET AL.
Title : ION TEXTURING METHODS AND ARTICLES
Filed : 07/30/2001
TC/A.U.: 1753
Examiner : MCDONALD, RODNEY GLENN
Docket No. : IB-1632A
Cust. No. : 8156

Confirmation No.: 9970

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

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INFORMATION DISCLOSURE STATEMENT

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

Section 2. PTO Form 1449 (modified)

FORM 1449 IS BEING SUBMITTED HEREWITH IN 1 PAGE(S).

Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed accompany this information disclosure statement.

Section 4. Concise Explanation of Non-English Language Listed Information Items

JP 01215966 A teaches a method for manufacturing a TiN film. See English-language abstract for additional information.

Section 5. Identification of Person(s) Making This INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file

Dated: 12/4/2003

12/10/2003 MGBREM1 00000015 09918385

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180.00 OP


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The United States Patent and Trademark Office mail room stamp hereon acknowledges receipt of the following items:

For: ION TEXTURING METHODS AND ARTICLES
In the names of RONALD P. READE ET AL.
Serial No. 09/918,395

1. Information Disclosure Statement (Page 1 & 2);
2. Information Disclosure Citation (PTO-1449) (Page 1 thru 8) and;
3. Citations (45).

Express Mail No.: EL737163831US
Date Mailed: May 15, 2002
Attorney: John P. O'Banion
Docket No.: CIB-1632A

THE UNITED STATES PATENT AND TRADEMARK OFFICE



41

12-08-03

1753

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
IB-1632A

In Re Application Of: **Ronald P. Reade et al.**

Serial No.

09/918,395

Filing Date

07/30/2001

Examiner

Rodney Glenn McDonald

Group Art Unit

1753

Title: **ION TEXTURING METHODS AND ARTICLES**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
IB-1632A

In Re Application: **Ronald P. Reade et al.**

DEC 04 2003

Serial No.

09/948395

Filing Date

07/30/2001

Examiner

Rodney Glenn

Group Art Unit

1753

ION TEXTURING METHODS AND ARTICLES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
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12/4/2003

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CC:

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	09/918,395
Filing Date	07/30/2001
First Named Inventor	Ronald P. Reade
Art Unit	1753
Examiner Name	Rodney G. McDonald
Attorney Docket Number	IB-1632A

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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 01215966 A	09/1989	Yamaki et al.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.